

AMENDMENT NO. 1

This Amendment modifies Contract No. 12-53-260, for Annual Fire Pump Tests and Maintenance by and between the County of Cook, Illinois, herein referred to as "County" and Simplex Grinnell LP, authorized to do business in the State of Illinois hereinafter referred to as "Contractor":

RECITALS

Whereas, the County and Contractor have entered into a Contract approved by the Chief Procurement Officer on January 2, 2013, (hereinafter referred to as the "Contract"), wherein the Contractor is to provide Annual Fire Pump Tests and Maintenance (hereinafter referred to as the "Services") from January 1, 2013 through December 31, 2015 with two, one-year renewal options, in an amount not to exceed \$132,840.00; and

Whereas, the Contract will expire December 31, 2015, and the agreed upon Services are still required; and

Whereas, a Renewal is desired for the continuation of Services; and

Whereas, an increase in the amount of \$50,000.00 is required for the continuation of Services; and

Whereas, the County and Contractor desire to renew the Contract for 12 months beginning on January 1, 2016 through December 31, 2016.

Whereas, the County and Contractor desire to include additional services to the Contract.

Now therefore, in consideration of mutual covenants contained herein, it is agreed by and between the parties to amend the Contract as follows:

1. The Contract is renewed through December 31, 2016.
2. The Contract is increased by \$50,000.00 and the Total Contract Amount is revised to \$182,840.00.
3. The Contract is hereby amended to incorporate Attachment A of this Contract and made part of the Contract.
4. GC-04 Payment of the Agreement is deleted in its entirety and is revised as follows:

All invoices submitted by the Consultant shall be in accordance with the cost provisions contained in the Agreement and shall contain a detailed description of the Deliverables, including the quantity of the Deliverables, for which payment is requested. All invoices for services shall include itemized entries indicating the date or time period in which the services were provided, the amount of time spent performing the services, and a detailed description of the services provided during the period of the invoice. All invoices shall reflect the amounts invoiced by and the amounts paid to the Consultant as of the date of the invoice. Invoices for new charges shall not include "past due" amounts, if any, which amounts must be set forth on a separate invoice. Consultant shall not be entitled to invoice the County for any late fees or other penalties.

In accordance with Section 34-177 of the Cook County Procurement Code, the County shall have a right to set off and subtract from any invoice(s) or Contract price, a sum equal to any fines and penalties, including interest, for any tax or fee delinquency and any debt or obligation owed by the Consultant to the County.

The Consultant acknowledges its duty to ensure the accuracy of all invoices submitted to the County for payment. By submitting the invoices, the Consultant certifies that all itemized entries set forth in the invoices are true and correct. The Consultant acknowledges that by submitting the invoices, it certifies that it has delivered the Deliverables, i.e., the goods, supplies, services or equipment set forth in the Agreement to the Using Agency, or that it has properly performed the services set forth in the Agreement. The invoice must also reflect the dates and amount of time expended in the provision of services under the Agreement. The Consultant acknowledges that any inaccurate statements or negligent or intentional misrepresentations in the invoices shall result in the County exercising all remedies available to it in law and equity including, but not limited to, a delay in payment or non-payment to the Consultant, and reporting the matter to the Cook County Office of the Independent Inspector General.


When a Consultant receives any payment from the County for any supplies, equipment, goods, or services, it has provided to the County pursuant to its Agreement, the Consultant must make payment to its Subcontractors within 15 days after receipt of payment from the County, provided that such Subcontractor has satisfactorily provided the supplies, equipment, goods or services in accordance with the Contract and provided the Consultant with all of the documents and information required of the Consultant. The Consultant may delay or postpone payment to a Subcontractor when the Subcontractor's supplies, equipment, goods, or services do not comply with the requirements of the Contract, the Consultant is acting in good faith, and not in retaliation for a Subcontractor exercising legal or contractual rights.

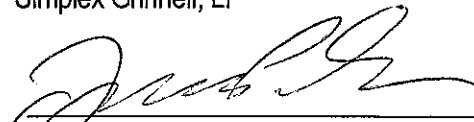
5. The attached Economic Disclosures Statement, Identification of Subcontractors, and MBE/WBE Utilization Plan forms are incorporated and made a part of this Contract.
6. All other terms and conditions remain as stated in the Contract.

In witness whereof, the County and Contractor have caused this Amendment No. 1 to be executed on the date and year last written below.

County of Cook, Illinois

Simplex Grinnell, LP

By: 
Chief Procurement Officer


Signed

By: N/A
State's Attorney (if applicable)

Frank Peluso
Type or print name

District General Manager
Title

Date: 22 December 2015

Date: September 29, 2015

ATTACHMENT A



Fire &
Security

SimplexGrinnell

ADDENDUM

8/4/2015

Contract # 45690376

Quote # 347864

By:
SimplexGrinnell
91 N. Mitchell Court
Addison IL. 60101

and Between:
Cook County Facilities
118 N Clark St. Suite 900
Chicago, IL 60602

This Addendum for the following location will add (1) one additional fire pump to the existing Test & Inspect Contract. One test will take place in the fall of 2015 and one in the fall of 2016.

Contract # 45690376
Quote # 347864
Cook County RCDC Division 8
2750 S California Ave
Chicago, IL 60608

From 01-SEPT-2015 to 31-DEC-2016. The customer agrees to all of the terms and conditions of the current contract.

Total Price: \$2,180

CUSTOMER ACCEPTANCE

In accepting this proposal, Customer agrees to the terms and conditions herein and any attachments or riders attached hereto that contain additional terms and conditions. It is understood that these terms and conditions shall prevail over any variations in terms and conditions on any purchase order or other document that the Customer may issue. Any changes in the system requested by Customer after the execution of this Agreement shall be paid for by the Customer and shall be authorized in writing. **ATTENTION IS DIRECTED TO THE LIMITATION OF LIABILITY, WARRENTY, INDEMNITY, AND OTHER CONDITIONS CONTAINED IN THIS AGREEMENT.**

Please provide us with your authorization by completing the following and returning one copy of this document.

SimplexGrinnell LP

By: Julie Watkins

Title: Fire & Life Safety Consultant

Customer

By:

Title:

Approve for SimplexGrinnell

By: Todd Kulpinski

Title: Operations Manager

Date: 7/27/2015

PO#: NA

Cook County
Office of the Chief Procurement Officer
Identification of Subcontractor/Supplier/Subconsultant Form

OCPO ONLY:
☐ Disqualification
☐ Check Complete

The Bidder/Proposer/Respondent ("the Contractor") will fully complete and execute and submit an Identification of Subcontractor/Supplier/Subconsultant Form ("ISF") with each Bid, Request for Proposal, and Request for Qualification. **The Contractor must complete the ISF for each Subcontractor, Supplier or Subconsultant which shall be used on the Contract.** In the event that there are any changes in the utilization of Subcontractors, Suppliers or Subconsultants, the Contractor must file an updated ISF.

Bid/RFP/RFQ No.: Contract # 12-53-260	Date: October 29, 2015
Total Bid or Proposal Amount: NTE \$182,840	Contract Title: Annual Fire Pump Tests & Maintenance
Contractor: SimplexGrinnell LP	Subcontractor/Supplier/ Subconsultant to be added or substitute: CSC Technology Services
Authorized Contact for Contractor: Julie Watkins	Authorized Contact for Subcontractor/Supplier/ Subconsultant: Babylon Williams
Email Address (Contractor): JuWatkins@simplexgrinnell.com	Email Address (Subcontractor): bwilliams@csc-julex.com
Company Address (Contractor): 91 N Mitchell Ct.	Company Address (Subcontractor): 16W241 South Frontage Road Suite 40
City, State and Zip (Contractor): Addison, IL 60101	City, State and Zip (Subcontractor): Burr Ridge, IL 60527
Telephone and Fax (Contractor): 630-948-1232 / 630-948-1250	Telephone and Fax (Subcontractor): 855-827-8328 x703 / 877-211-8623
Estimated Start and Completion Dates (Contractor): January 1, 2016 - December 31, 2016	Estimated Start and Completion Dates (Subcontractor): January 1, 2016 - December 31, 2016

Note: Upon request, a copy of all written subcontractor agreements must be provided to the OCPO.

<u>Description of Services or Supplies</u>	<u>Total Price of Subcontract for Services or Supplies</u>
Testing & Inspection of Life Safety Systems	NTE \$45,710

The subcontract documents will incorporate all requirements of the Contract awarded to the Contractor as applicable. The subcontract will in no way hinder the Subcontractor/Supplier/Subconsultant from maintaining its progress on any other contract on which it is either a Subcontractor/Supplier/Subconsultant or principal contractor. This disclosure is made with the understanding that the Contractor is not under any circumstances relieved of its abilities and obligations, and is responsible for the organization, performance, and quality of work. **This form does not approve any proposed changes, revisions or modifications to the contract approved MBE/WBE Utilization Plan. Any changes to the contract's approved MBE/WBE/Utilization Plan must be submitted to the Office of the Contract Compliance.**

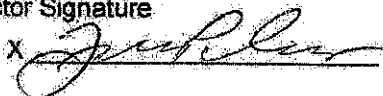
Contractor SimplexGrinnell LP

Name Frank Peluso

Title District General Manager

Prime Contractor Signature

Date

X 

October 29, 2015



OFFICE OF CONTRACT COMPLIANCE

JACQUELINE GOMEZ

DIRECTOR

118 N. Clark, County Building, Room 1020 • Chicago, Illinois 60602 • (312) 603-5502

TONI PRECKWINKLE

PRESIDENT

**Cook County Board
of Commissioners**

RICHARD R. BOYKIN
1st District

ROBERT STEELE
2nd District

JERRY BUTLER
3rd District

STANLEY MOORE
4th District

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13th District

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14th District

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15th District

JEFFREY R. TOBOLSKI
16th District

SEAN M. MORRISON
17th District

December 8, 2015

Ms. Shannon E. Andrews
Chief Procurement Officer
County Building-Room 1018
Chicago, IL 60602

Re: Contract No. 12-53-260 Amendment No. 1
Annual Fire Pump Test and Maintenance
Facilities Department

Dear Ms. Andrews:

The following bid for the above-referenced contract has been reviewed for compliance with the General Conditions regarding the Minority- and Women- owned Business Enterprises (MBE/WBE) Ordinance and have been found to be responsive to the ordinance.

Bidder: Simplex Grinnell LP
Original Contract Value: \$132,840.00
Increased Contract Value: \$50,000.00 (Amendment No. 1)
New Contract Value: \$182,840.00
Contract Extension: 12 months
New Contract Term: January 1, 2016 through December 31, 2016
Contract Goal: 25% MBE/WBE

<u>MBE/WBE</u>	<u>Status</u>	<u>Certifying Agency</u>	<u>Commitment</u>
Profasts, Inc.	MBE-9	City of Chicago	25% (Direct)

The Office of Contract Compliance has been advised by the Requesting Department that no other bidders are being recommended for award. Additional MBE/WBE forms were used in the determination of the responsiveness of this contract.

Sincerely,

Jacqueline Gomez ^{ca.}

Jacqueline Gomez
Contract Compliance Director

JG/smp

Cc: Aaron Moser, OCPO
Kathy Weiss-Botica, Facilities Management

BIDDER/PROPOSER HEREBY STATES that all MBE/WBE firms included in this Plan are certified MBEs/WBEs by at least one of the entities listed in the General Conditions – Section 19.

I. **BIDDER/PROPOSER MBE/WBE STATUS:** (check the appropriate line)

- ☐ Bidder/Proposer is a certified MBE or WBE firm. (If so, attach copy of current Letter of Certification)
- ☐ Bidder/Proposer is a Joint Venture and one or more Joint Venture partners are certified MBEs or WBEs. (If so, attach copies of Letter(s) of Certification, a copy of Joint Venture Agreement clearly describing the role of the MBE/WBE firm(s) and its ownership interest in the Joint Venture and a completed Joint Venture Affidavit – available online at www.cookcountyil.gov/contractcompliance)
- ☒ Bidder/Proposer is not a certified MBE or WBE firm, nor a Joint Venture with MBE/WBE partners, but will utilize MBE and WBE firms either directly or indirectly in the performance of the Contract. (If so, complete Sections II below and the Letter(s) of Intent – Form 2).

II. ☐ Direct Participation of MBE/WBE Firms ☒ Indirect Participation of MBE/WBE Firms

NOTE: Where goals have not been achieved through direct participation, Bidder/Proposer shall include documentation outlining efforts to achieve Direct Participation at the time of Bid/Proposal submission. Indirect Participation will only be considered after all efforts to achieve Direct Participation have been exhausted. Only after written documentation of Good Faith Efforts is received will Indirect Participation be considered.

MBEs/WBEs that will perform as subcontractors/suppliers/consultants include the following:

MBE/WBE Firm: Computer Services & Consulting, Inc. – MBE/WBE Indirect Participation

Address: 16W241 South Frontage Road Suite 40, Burr Ridge, IL 60527

E-mail: bwilliams@csc-julex.com

Contact Person: Babylon S. Williams Phone: 855-827-8328 x703

Dollar Amount Participation: \$ TBD Based Upon Total Actual Spend of Contract - NTE \$45,710

Percent Amount of Participation: 25% %

*Letter of Intent attached? Yes ☒ No ☐

*Current Letter of Certification attached? Yes ☒ No ☐

MBE/WBE Firm: NA

Address: _____

E-mail: _____

Contact Person: _____ Phone: _____

Dollar Amount Participation: \$ _____

Percent Amount of Participation: _____ %

*Letter of Intent attached? Yes _____ No _____

*Current Letter of Certification attached? Yes _____ No _____

Attach additional sheets as needed.

* Letter(s) of Intent and current Letters of Certification must be submitted at the time of bid.

MBE/WBE LETTER OF INTENT - FORM 2

M/WBE Firm: CSC Technology Services

Certifying Agency: City of Chicago

Contact Person: Babylon S. Williams

Certification Expiration Date: 6/1/2019

Address: 16W241 South Frontage Road Suite 40

Ethnicity: Latino Women

City/State: Burr Ridge, IL Zip: 60527

Bid/Proposal/Contract #: Contract # 12-53-260

Phone: 855-827-8328 x703 Fax: 877-211-8623

FEIN #: 36-3567223

Email: bwilliams@csc-julix.com

Participation: ☐ Direct ☒ Indirect

Will the M/WBE firm be subcontracting any of the goods or services of this contract to another firm?

☒ No ☐ Yes - Please attach explanation. Proposed Subcontractor(s): _____


The undersigned M/WBE is prepared to provide the following Commodities/Services for the above named Project/ Contract: *(If more space is needed to fully describe M/WBE Firm's proposed scope of work and/or payment schedule, attach additional sheets)*

Testing & Inspection of Life Safety Systems

Indicate the Dollar Amount, Percentage, and the Terms of Payment for the above-described Commodities/ Services:

NTE \$45,710 - 25% - Net 30

THE UNDERSIGNED PARTIES AGREE that this Letter of Intent will become a binding Subcontract Agreement for the above work, conditioned upon: (1) the Bidder/Proposer's receipt of a signed contract from the County of Cook; (2) Undersigned Subcontractor remaining compliant with all relevant credentials, codes, ordinances and statutes required by Contractor, Cook County, and the State to participate as a MBE/WBE firm for the above work. The Undersigned Parties do also certify that they did not affix their signatures to this document until all areas under Description of Service/ Supply and Fee/Cost were completed.


Signature (M/WBE)

Babylon S. Williams

Print Name

Computer Services & Consulting, Inc.

Firm Name

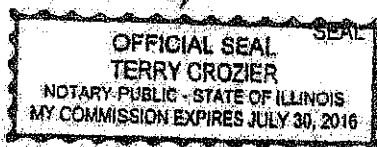
10/27/2015

Date

Subscribed and sworn before me

this 27th day of October, 2015

Notary Public 



M/WBE Utilization Plan - Form 2


Signature (Prime Bidder/Proposer)

Frank Peluso

Print Name

SimplexGrinnell LP

Firm Name

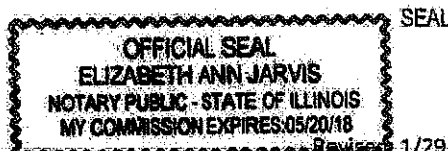
October 29, 2015

Date

Subscribed and sworn before me

this 29th day of October, 2015

Notary Public 



Revised 1/29/14

A. BIDDER/PROPOSER HEREBY REQUESTS:

- ☐ FULL MBE WAIVER ☐ FULL WBE WAIVER
- ☐ REDUCTION (PARTIAL MBE and/or WBE PARTICIPATION)

_____ % of Reduction for MBE Participation
 _____ % of Reduction for WBE Participation

B. REASON FOR FULL/REDUCTION WAIVER REQUEST

Bidder/Proposer shall check each item applicable to its reason for a waiver request. Additionally, supporting documentation shall be submitted with this request.

- ☐ (1) Lack of sufficient qualified MBEs and/or WBEs capable of providing the goods or services required by the contract. **(Please explain)**
- ☐ (2) The specifications and necessary requirements for performing the contract make it impossible or economically infeasible to divide the contract to enable the contractor to utilize MBEs and/or WBEs in accordance with the applicable participation. **(Please explain)**
- ☐ (3) Price(s) quoted by potential MBEs and/or WBEs are above competitive levels and increase cost of doing business and would make acceptance of such MBE and/or WBE bid economically impracticable, taking into consideration the percentage of total contract price represented by such MBE and/or WBE bid. **(Please explain)**
- ☐ (4) There are other relevant factors making it impossible or economically infeasible to utilize MBE and/or WBE firms. **(Please explain)**

C. GOOD FAITH EFFORTS TO OBTAIN MBE/WBE PARTICIPATION

- ☐ (1) Made timely written solicitation to identified MBEs and WBEs for utilization of goods and/or services; and provided MBEs and WBEs with a timely opportunity to review and obtain relevant specifications, terms and conditions of the proposal to enable MBEs and WBEs to prepare an informed response to solicitation. **(Attach of copy written solicitations made)**
- ☐ (2) Used the services and assistance of the Office of Contract Compliance staff. **(Please explain)**
- ☐ (3) Timely notified and used the services and assistance of community, minority and women business organizations. **(Attach of copy written solicitations made)**
- ☐ (4) Followed up on initial solicitation of MBEs and WBEs to determine if firms are interested in doing business. **(Attach supporting documentation)**
- ☐ (5) Engaged MBEs & WBEs for direct/indirect participation. **(Please explain)**

D. OTHER RELEVANT INFORMATION

Attach any other documentation relative to Good Faith Efforts in complying with MBE/WBE participation.

Vendor Information

CLOSE WINDOW



HELP

Vendor Information

Business Name **Computer Services & Consulting**
 Owner **Caroline Sanchez-Crozier**
 Address **1613 S. Michigan Avenue**
 > [Map This Address](#) **Chicago, IL 60616**
 Phone **855-827-8328 Ext. 703**
 Fax **877-211-8623**
 Email **lrodriguez@csclearning.com**
 Website **<http://www.csc-julex.com>**

Certification Information

Certifying Agency **City of Chicago**
 Certification Type **MBE - Minority Business Enterprise**
 Certification Date **6/8/2015**
 Renewal/Anniversary Date **6/1/2016**
 Expiration Date **6/1/2019**
 Certified Business Description **NAICS 423430 Computer and Computer Peripheral Equipment and Software Merchant Wholesalers
 NAICS 541512 Computer Systems Design Services
 NAICS 541512 Local area network (LAN) computer systems integration design services
 NAICS 611420 Computer software training
 NAICS 611420 Computer Training
 NAICS 611420 Software application training
 NAICS 611710 Educational consultants
 NAICS 611710 Educational support services
 NAICS 811213 Communication equipment repair and maintenance services**

Commodity Codes

Code	Description
NAICS 541512	Local area network (LAN) computer systems integration design services
NAICS 611420	Computer software training
NAICS 611420	Computer Training
NAICS 611420	Software application training
NAICS 611710	Educational consultants
NAICS 611710	Educational support services
NAICS 811213	Communication equipment repair and maintenance services

Customer Support

[Print This Page](#)

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DEPARTMENT OF PROCUREMENT SERVICES
CITY OF CHICAGO

JUL 01 2014

Caroline Sanchez Crozier
Computer Services and Consulting, Inc.
1613 S. Michigan Avenue
Chicago, IL 60616

Dear Ms. Crozier:

We are pleased to inform you that Computer Services and Consulting, Inc. has been certified as a **Minority and Women-Owned Business Enterprise (MBE/WBE)** by the City of Chicago ("City"). This **MBE/WBE** certification is valid until **06/01/2019**; however your firm's certification must be revalidated annually. In the past the City has provided you with an annual letter confirming your certification; such letters will no longer be issued. As a consequence, we require you to be even more diligent in filing your **annual No-Change Affidavit 60 days** before your annual anniversary date.

It is now your responsibility to check the City's certification directory and verify your certification status. As a condition of continued certification during the five year period stated above, you must file an annual No-Change Affidavit. Your firm's **annual No-Change Affidavit** is due by **06/01/2015, 06/01/2016, 06/01/2017, and 06/01/2018**. Please remember, you have an affirmative duty to file your **No-Change Affidavit 60 days** prior to the date of expiration. Failure to file your annual No-Change Affidavit may result in the suspension or rescission of your certification.

Your firm's five year certification will expire on **06/01/2019**. You have an affirmative duty to file for recertification **60 days** prior to the date of the five year anniversary date. Therefore, you must file for recertification by **04/01/2019**.

It is important to note that you also have an ongoing affirmative duty to notify the City of any changes in ownership or control of your firm, or any other fact affecting your firm's eligibility for certification **within 10 days** of such change. These changes may include but are not limited to a change of address, change of business structure, change in ownership or ownership structure, change of business operations, gross receipts and or personal net worth that exceed the program threshold. Failure to provide the City with timely notice of such changes may result in the suspension or rescission of your certification. In addition, you may be liable for civil penalties under Chapter 1-22, "False Claims", of the Municipal Code of Chicago.

Please note -- you shall be deemed to have had your certification lapse and will be ineligible to participate as a MBE/WBE if you fail to:

- File your annual No-Change Affidavit within the required time period;
- Provide financial or other records requested pursuant to an audit within the required time period;
- Notify the City of any changes affecting your firm's certification **within 10 days** of such change; or
- File your recertification within the required time period.

Please be reminded of your contractual obligation to cooperate with the City with respect to any reviews, audits or investigation of its contracts and affirmative action programs. We strongly encourage you to assist us in maintaining the integrity of our programs by reporting instances or suspicions of fraud or abuse to the **City's Inspector General at chicagoinspectorgeneral.org, or 866-IG-TIPLINE (866-448-4754).**

Be advised that if you or your firm is found to be involved in certification, bidding and/or contractual fraud or abuse, the City will pursue decertification and debarment. In addition to any other penalty imposed by law, any person who knowingly obtains, or knowingly assists another in obtaining a contract with the City by falsely representing the individual or entity, or the individual or entity assisted is guilty of a misdemeanor, punishable by incarceration in the county jail for a period not to exceed six months, or a fine of not less than \$5,000 and not more than \$10,000 or both.

Your firm's name will be listed in the City's Directory of Minority and Women-Owned Business Enterprises in the specialty area(s) of:

NAICS Code(s):

**423430 - Computer and Computer Peripheral Equipment and Software Merchant
Wholesalers**
541512 - Computer Systems Design Services
541512 - Local Area Network (LAN) Computer Systems Integration Design Services
611420 - Computer Training
611420 - Computer Software Training
611420 - Software Application Training
611710 - Educational Support Services
611710 - Educational Consultants
811213 - Communication Equipment Repair and Maintenance

Your firm's participation on City contracts will be credited only toward **Minority-Owned Business Enterprise and Women-Owned Business Enterprise** goals in your area(s) specialty. While your participation on City contracts is not limited to your area of specialty, credit toward goals will be given only for work that is self-performed and providing a commercially useful function that is done in the approved specialty category.

Thank you for your interest in the City's Minority and Women-Owned Business Enterprise (MBE/WBE) Program.

Sincerely,


Jamie L. Rhee
Chief Procurement Officer

JLR/tb

Kur

**COOK COUNTY
ECONOMIC DISCLOSURE STATEMENT
AND EXECUTION DOCUMENT
INDEX**

Section	Description	Pages
1	Instructions for Completion of EDS	EDS i - ii
2	Certifications	EDS 1– 2
3	Economic and Other Disclosures, Affidavit of Child Support Obligations, Disclosure of Ownership Interest and Familial Relationship Disclosure Form	EDS 3 – 12
4	Cook County Affidavit for Wage Theft Ordinance	EDS 13-14
5	Contract and EDS Execution Page	EDS 15-17
6	Cook County Signature Page	EDS 18

SECTION 1
INSTRUCTIONS FOR COMPLETION OF
ECONOMIC DISCLOSURE STATEMENT AND EXECUTION DOCUMENT

This Economic Disclosure Statement and Execution Document ("EDS") is to be completed and executed by every Bidder on a County contract, every Proposer responding to a Request for Proposals, and every Respondent responding to a Request for Qualifications, and others as required by the Chief Procurement Officer. The execution of the EDS shall serve as the execution of a contract awarded by the County. The Chief Procurement Officer reserves the right to request that the Bidder or Proposer, or Respondent provide an updated EDS on an annual basis.

Definitions. Terms used in this EDS and not otherwise defined herein shall have the meanings given to such terms in the Instructions to Bidders, General Conditions, Request for Proposals, Request for Qualifications, as applicable.

Affiliate means a person that directly or indirectly through one or more intermediaries, Controls is Controlled by, or is under common Control with the Person specified.

Applicant means a person who executes this EDS.

Bidder means any person who submits a Bid.

Code means the Code of Ordinances, Cook County, Illinois available on municode.com.

Contract shall include any written document to make Procurements by or on behalf of Cook County.

Contractor or *Contracting Party* means a person that enters into a Contract with the County.

Control means the unfettered authority to directly or indirectly manage governance, administration, work, and all other aspects of a business.

EDS means this complete Economic Disclosure Statement and Execution Document, including all sections listed in the Index and any attachments.

Joint Venture means an association of two or more Persons proposing to perform a for-profit business enterprise. Joint Ventures must have an agreement in writing specifying the terms and conditions of the relationship between the partners and their relationship and respective responsibility for the Contract

Lobby or *lobbying* means to, for compensation, attempt to influence a County official or County employee with respect to any County matter.

Lobbyist means any person who lobbies.

Person or *Persons* means any individual, corporation, partnership, Joint Venture, trust, association, Limited Liability Company, sole proprietorship or other legal entity.

Prohibited Acts means any of the actions or occurrences which form the basis for disqualification under the Code, or under the Certifications hereinafter set forth.

Proposal means a response to an RFP.

Proposer means a person submitting a Proposal.

Response means response to an RFQ.

Respondent means a person responding to an RFQ.

RFP means a Request for Proposals issued pursuant to this Procurement Code.

RFQ means a Request for Qualifications issued to obtain the qualifications of interested parties.

**INSTRUCTIONS FOR COMPLETION OF
ECONOMIC DISCLOSURE STATEMENT AND EXECUTION DOCUMENT**

Section 1: Instructions. Section 1 sets forth the instructions for completing and executing this EDS.

Section 2: Certifications. Section 2 sets forth certifications that are required for contracting parties under the Code and other applicable laws. Execution of this EDS constitutes a warranty that all the statements and certifications contained, and all the facts stated, in the Certifications are true, correct and complete as of the date of execution.

Section 3: Economic and Other Disclosures Statement. Section 3 is the County's required Economic and Other Disclosures Statement form. Execution of this EDS constitutes a warranty that all the information provided in the EDS is true, correct and complete as of the date of execution, and binds the Applicant to the warranties, representations, agreements and acknowledgements contained therein.

Required Updates. The Applicant is required to keep all information provided in this EDS current and accurate. In the event of any change in the information provided, including but not limited to any change which would render inaccurate or incomplete any certification or statement made in this EDS, the Applicant shall supplement this EDS up to the time the County takes action, by filing an amended EDS or such other documentation as is required.

Additional Information. The County's Governmental Ethics and Campaign Financing Ordinances impose certain duties and obligations on persons or entities seeking County contracts, work, business, or transactions, and the Applicant is expected to comply fully with these ordinances. For further information please contact the Director of Ethics at (312) 603-4304 (69 W. Washington St. Suite 3040, Chicago, IL 60602) or visit the web-site at cookcountyil.gov/ethics-board-of.

Authorized Signers of Contract and EDS Execution Page. If the Applicant is a corporation, the President and Secretary must execute the EDS. In the event that this EDS is executed by someone other than the President, attach hereto a certified copy of that section of the Corporate By-Laws or other authorization by the Corporation, satisfactory to the County that permits the person to execute EDS for said corporation. If the corporation is not registered in the State of Illinois, a copy of the Certificate of Good Standing from the state of incorporation must be submitted with this Signature Page.

If the Applicant is a partnership or joint venture, all partners or joint venturers must execute the EDS, unless one partner or joint venture has been authorized to sign for the partnership or joint venture, in which case, the partnership agreement, resolution or evidence of such authority satisfactory to the Office of the Chief Procurement Officer must be submitted with this Signature Page.

If the Applicant is a member-managed LLC all members must execute the EDS, unless otherwise provided in the operating agreement, resolution or other corporate documents. If the Applicant is a manager-managed LLC, the manager(s) must execute the EDS. The Applicant must attach either a certified copy of the operating agreement, resolution or other authorization, satisfactory to the County, demonstrating such person has the authority to execute the EDS on behalf of the LLC. If the LLC is not registered in the State of Illinois, a copy of a current Certificate of Good Standing from the state of incorporation must be submitted with this Signature Page.

If the Applicant is a Sole Proprietorship, the sole proprietor must execute the EDS.

A "Partnership" "Joint Venture" or "Sole Proprietorship" operating under an Assumed Name must be registered with the Illinois county in which it is located, as provided in 805 ILCS 405 (2012), and documentation evidencing registration must be submitted with the EDS.

SECTION 2

CERTIFICATIONS

THE FOLLOWING CERTIFICATIONS ARE MADE PURSUANT TO STATE LAW AND THE CODE. THE APPLICANT IS CAUTIONED TO CAREFULLY READ THESE CERTIFICATIONS PRIOR TO SIGNING THE SIGNATURE PAGE. SIGNING THE SIGNATURE PAGE SHALL CONSTITUTE A WARRANTY BY THE APPLICANT THAT ALL THE STATEMENTS, CERTIFICATIONS AND INFORMATION SET FORTH WITHIN THESE CERTIFICATIONS ARE TRUE, COMPLETE AND CORRECT AS OF THE DATE THE SIGNATURE PAGE IS SIGNED. THE APPLICANT IS NOTIFIED THAT IF THE COUNTY LEARNS THAT ANY OF THE FOLLOWING CERTIFICATIONS WERE FALSELY MADE, THAT ANY CONTRACT ENTERED INTO WITH THE APPLICANT SHALL BE SUBJECT TO TERMINATION.

A. PERSONS AND ENTITIES SUBJECT TO DISQUALIFICATION

No person or business entity shall be awarded a contract or sub-contract, for a period of five (5) years from the date of conviction or entry of a plea or admission of guilt, civil or criminal, if that person or business entity:

- 1) Has been convicted of an act committed, within the State of Illinois, of bribery or attempting to bribe an officer or employee of a unit of state, federal or local government or school district in the State of Illinois in that officer's or employee's official capacity;
- 2) Has been convicted by federal, state or local government of an act of bid-rigging or attempting to rig bids as defined in the Sherman Anti-Trust Act and Clayton Act. Act. 15 U.S.C. Section 1 *et seq.*;
- 3) Has been convicted of bid-rigging or attempting to rig bids under the laws of federal, state or local government;
- 4) Has been convicted of an act committed, within the State, of price-fixing or attempting to fix prices as defined by the Sherman Anti-Trust Act and the Clayton Act. 15 U.S.C. Section 1, *et seq.*;
- 5) Has been convicted of price-fixing or attempting to fix prices under the laws the State;
- 6) Has been convicted of defrauding or attempting to defraud any unit of state or local government or school district within the State of Illinois;
- 7) Has made an admission of guilt of such conduct as set forth in subsections (1) through (6) above which admission is a matter of record, whether or not such person or business entity was subject to prosecution for the offense or offenses admitted to; or
- 8) Has entered a plea of *nolo contendere* to charge of bribery, price-fixing, bid-rigging, or fraud, as set forth in subparagraphs (1) through (6) above.

In the case of bribery or attempting to bribe, a business entity may not be awarded a contract if an official, agent or employee of such business entity committed the Prohibited Act on behalf of the business entity and pursuant to the direction or authorization of an officer, director or other responsible official of the business entity, and such Prohibited Act occurred within three years prior to the award of the contract. In addition, a business entity shall be disqualified if an owner, partner or shareholder controlling, directly or indirectly, 20% or more of the business entity, or an officer of the business entity has performed any Prohibited Act within five years prior to the award of the Contract.

THE APPLICANT HEREBY CERTIFIES THAT: The Applicant has read the provisions of Section A, Persons and Entities Subject to Disqualification, that the Applicant has not committed any Prohibited Act set forth in Section A, and that award of the Contract to the Applicant would not violate the provisions of such Section or of the Code.

B. BID-RIGGING OR BID ROTATING

THE APPLICANT HEREBY CERTIFIES THAT: In accordance with 720 ILCS 5/33 E-11, neither the Applicant nor any Affiliated Entity is barred from award of this Contract as a result of a conviction for the violation of State laws prohibiting bid-rigging or bid rotating.

C. DRUG FREE WORKPLACE ACT

THE APPLICANT HEREBY CERTIFIES THAT: The Applicant will provide a drug free workplace, as required by (30 ILCS 580/3).

D. DELINQUENCY IN PAYMENT OF TAXES

THE APPLICANT HEREBY CERTIFIES THAT: *The Applicant is not an owner or a party responsible for the payment of any tax or fee administered by Cook County, by a local municipality, or by the Illinois Department of Revenue, which such tax or fee is delinquent, such as bar award of a contract or subcontract pursuant to the Code, Chapter 34, Section 34-171.*

E. HUMAN RIGHTS ORDINANCE

No person who is a party to a contract with Cook County ("County") shall engage in unlawful discrimination or sexual harassment against any individual in the terms or conditions of employment, credit, public accommodations, housing, or provision of County facilities, services or programs (Code Chapter 42, Section 42-30 *et seq.*).

F. ILLINOIS HUMAN RIGHTS ACT

THE APPLICANT HEREBY CERTIFIES THAT: *It is in compliance with the Illinois Human Rights Act (775 ILCS 5/2-105), and agrees to abide by the requirements of the Act as part of its contractual obligations.*

G. INSPECTOR GENERAL (COOK COUNTY CODE, CHAPTER 34, SECTION 34-174 and Section 34-250)

The Applicant has not willfully failed to cooperate in an investigation by the Cook County Independent Inspector General or to report to the Independent Inspector General any and all information concerning conduct which they know to involve corruption, or other criminal activity, by another county employee or official, which concerns his or her office of employment or County related transaction.

The Applicant has reported directly and without any undue delay any suspected or known fraudulent activity in the County's Procurement process to the Office of the Cook County Inspector General.

H. CAMPAIGN CONTRIBUTIONS (COOK COUNTY CODE, CHAPTER 2, SECTION 2-585)

THE APPLICANT CERTIFIES THAT: It has read and shall comply with the Cook County's Ordinance concerning campaign contributions, which is codified at Chapter 2, Division 2, Subdivision II, Section 585, and can be read in its entirety at www.municode.com.

I. GIFT BAN, (COOK COUNTY CODE, CHAPTER 2, SECTION 2-574)

THE APPLICANT CERTIFIES THAT: It has read and shall comply with the Cook County's Ordinance concerning receiving and soliciting gifts and favors, which is codified at Chapter 2, Division 2, Subdivision II, Section 574, and can be read in its entirety at www.municode.com.

J. LIVING WAGE ORDINANCE PREFERENCE (COOK COUNTY CODE, CHAPTER 34, SECTION 34-160;

Unless expressly waived by the Cook County Board of Commissioners, the Code requires that a living wage must be paid to individuals employed by a Contractor which has a County Contract and by all subcontractors of such Contractor under a County Contract, throughout the duration of such County Contract. The amount of such living wage is annually by the Chief Financial Officer of the County, and shall be posted on the Chief Procurement Officer's website.

The term "Contract" as used in Section 4, I, of this EDS, specifically excludes contracts with the following:

- 1) Not-For Profit Organizations (defined as a corporation having tax exempt status under Section 501(C)(3) of the United States Internal Revenue Code and recognized under the Illinois State not-for-profit law);
- 2) Community Development Block Grants;
- 3) Cook County Works Department;
- 4) Sheriff's Work Alternative Program; and
- 5) Department of Correction inmates.

SECTION 3

REQUIRED DISCLOSURES

1. DISCLOSURE OF LOBBYIST CONTACTS

List all persons that have made lobbying contacts on your behalf with respect to this contract:

Name Address

N/A

2. LOCAL BUSINESS PREFERENCE STATEMENT (CODE, CHAPTER 34, SECTION 34-230)

Local business means a Person, including a foreign corporation authorized to transact business in Illinois, having a bona fide establishment located within the County at which it is transacting business on the date when a Bid is submitted to the County, and which employs the majority of its regular, full-time work force within the County. A Joint Venture shall constitute a Local Business if one or more Persons that qualify as a "Local Business" hold interests totaling over 50 percent in the Joint Venture, even if the Joint Venture does not, at the time of the Bid submittal, have such a bona fide establishment within the County.

a) Is Applicant a "Local Business" as defined above?

Yes: _____ No: X

b) If yes, list business addresses within Cook County:

N/A

c) Does Applicant employ the majority of its regular full-time workforce within Cook County?

Yes: _____ No: X

3. THE CHILD SUPPORT ENFORCEMENT ORDINANCE (CODE, CHAPTER 34, SECTION 34-172)

Every Applicant for a County Privilege shall be in full compliance with any child support order before such Applicant is entitled to receive or renew a County Privilege. When delinquent child support exists, the County shall not issue or renew any County Privilege, and may revoke any County Privilege.

All Applicants are required to review the Cook County Affidavit of Child Support Obligations attached to this EDS (EDS-5) and complete the Affidavit, based on the instructions in the Affidavit.

4. REAL ESTATE OWNERSHIP DISCLOSURES.

The Applicant must indicate by checking the appropriate provision below and providing all required information that either:

- a) The following is a complete list of all real estate owned by the Applicant in Cook County:

PERMANENT INDEX NUMBER(S): _____

N/A

(ATTACH SHEET IF NECESSARY TO LIST ADDITIONAL INDEX NUMBERS)

OR:

- b) X The Applicant owns no real estate in Cook County.

5. EXCEPTIONS TO CERTIFICATIONS OR DISCLOSURES.

If the Applicant is unable to certify to any of the Certifications or any other statements contained in this EDS and not explained elsewhere in this EDS, the Applicant must explain below:

N/A

If the letters, "NA", the word "None" or "No Response" appears above, or if the space is left blank, it will be conclusively presumed that the Applicant certified to all Certifications and other statements contained in this EDS.

COOK COUNTY DISCLOSURE OF OWNERSHIP INTEREST STATEMENT

The Cook County Code of Ordinances (§2-610 *et seq.*) requires that any Applicant for any County Action must disclose information concerning ownership interests in the Applicant. This Disclosure of Ownership Interest Statement must be completed with all information current as of the date this Statement is signed. Furthermore, this Statement must be kept current, by filing an amended Statement, until such time as the County Board or County Agency shall take action on the application. The information contained in this Statement will be maintained in a database and made available for public viewing.

If you are asked to list names, but there are no applicable names to list, you must state NONE. An incomplete Statement will be returned and any action regarding this contract will be delayed. A failure to fully comply with the ordinance may result in the action taken by the County Board or County Agency being voided.

"Applicant" means any Entity or person making an application to the County for any County Action.

"County Action" means any action by a County Agency, a County Department, or the County Board regarding an ordinance or ordinance amendment, a County Board approval, or other County agency approval, with respect to contracts, leases, or sale or purchase of real estate.

"Person" "Entity" or "Legal Entity" means a sole proprietorship, corporation, partnership, association, business trust, estate, two or more persons having a joint or common interest, trustee of a land trust, other commercial or legal entity or any beneficiary or beneficiaries thereof.

This Disclosure of Ownership Interest Statement must be submitted by :

1. An Applicant for County Action and
2. A Person that holds stock or a beneficial interest in the Applicant and is listed on the Applicant's Statement (a "Holder") must file a Statement and complete #1 only under **Ownership Interest Declaration**.

Please print or type responses clearly and legibly. Add additional pages if needed, being careful to identify each portion of the form to which each additional page refers.

This Statement is being made by the ☒ Applicant or ☐ Stock/Beneficial Interest Holder

This Statement is an: ☒ Original Statement or ☐ Amended Statement

Identifying Information:

Name SimplexGrinnell LP

D/B/A: N/A FEIN NO.: 58-2608861

Street Address: 91 N Mitchell Ct

City: Addison State: IL Zip Code: 60101

Phone No.: (630) 948-1100 Fax Number: (630) 948-1250 Email: JuWatkins@simplexgrinnell.com

Cook County Business Registration Number: N/A
(Sole Proprietor, Joint Venture Partnership)

Corporate File Number (if applicable): N/A

Form of Legal Entity:

☐ Sole Proprietor ☐ Partnership ☐ Corporation ☐ Trustee of Land Trust

☐ Business Trust ☐ Estate ☐ Association ☐ Joint Venture

☒ Other (describe) Limited Partnership

Ownership Interest Declaration:

1. List the name(s), address, and percent ownership of each Person having a legal or beneficial interest (including ownership) of more than five percent (5%) in the Applicant/Holder.

Name	Address	Percentage Interest in Applicant/Holder
------	---------	---

N/A

2. If the interest of any Person listed in (1) above is held as an agent or agents, or a nominee or nominees, list the name and address of the principal on whose behalf the interest is held.

Name of Agent/Nominee	Name of Principal	Principal's Address
-----------------------	-------------------	---------------------

N/A

3. Is the Applicant constructively controlled by another person or Legal Entity? ☐ Yes ☐ No
If yes, state the name, address and percentage of beneficial interest of such person, and the relationship under which such control is being or may be exercised.

Name	Address	Percentage of Beneficial Interest	Relationship
------	---------	-----------------------------------	--------------

N/A

Corporate Officers, Members and Partners Information:

For all corporations, list the names, addresses, and terms for all corporate officers. For all limited liability companies, list the names, addresses for all members. For all partnerships and joint ventures, list the names, addresses, for each partner or joint venture.

Name	Address	Title (specify title of Office, or whether manager or partner/joint venture)	Term of Office
------	---------	--	----------------

N/A

Declaration (check the applicable box):

- ☐ I state under oath that the Applicant has withheld no disclosure as to ownership interest in the Applicant nor reserved any information, data or plan as to the intended use or purpose for which the Applicant seeks County Board or other County Agency action.
- ☒ I state under oath that the Holder has withheld no disclosure as to ownership interest nor reserved any information required to be disclosed.

COOK COUNTY DISCLOSURE OF OWNERSHIP INTEREST STATEMENT SIGNATURE PAGE

Frank Peluso

District General Manager

Name of Authorized Applicant/Holder Representative (please print or type)

Title

Signature

September 29, 2015

Date

Fpeluso@simplexgrinnell.com

(630) 948 1100

E-mail address

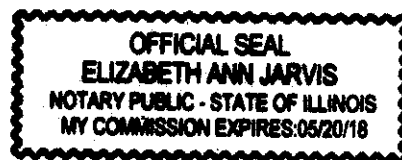
Phone Number

Subscribed to and sworn before me
this 29th day of September, 2015

My commission expires: 5/20/18

x Elizabeth Ann Jarvis
Notary Public Signature

Notary Seal





COOK COUNTY BOARD OF ETHICS
69 W. WASHINGTON STREET, SUITE 3040
CHICAGO, ILLINOIS 60602
312/603-4304 Office 312/603-9988 Fax

FAMILIAL RELATIONSHIP DISCLOSURE PROVISION

Nepotism Disclosure Requirement:

Doing a significant amount of business with the County requires that you disclose to the Board of Ethics the existence of any familial relationships with any County employee or any person holding elective office in the State of Illinois, the County, or in any municipality within the County. The Ethics Ordinance defines a significant amount of business for the purpose of this disclosure requirement as more than \$25,000 in aggregate County leases, contracts, purchases or sales in any calendar year.

If you are unsure of whether the business you do with the County or a County agency will cross this threshold, err on the side of caution by completing the attached familial disclosure form because, among other potential penalties, any person found guilty of failing to make a required disclosure or knowingly filing a false, misleading, or incomplete disclosure will be prohibited from doing any business with the County for a period of three years. The required disclosure should be filed with the Board of Ethics by January 1 of each calendar year in which you are doing business with the County and again with each bid/proposal/quotation to do business with Cook County. The Board of Ethics may assess a late filing fee of \$100 per day after an initial 30-day grace period.

The person that is doing business with the County must disclose his or her familial relationships. If the person on the County lease or contract or purchasing from or selling to the County is a business entity, then the business entity must disclose the familial relationships of the individuals who are and, during the year prior to doing business with the County, were:

- its board of directors,
- its officers,
- its employees or independent contractors responsible for the general administration of the entity,
- its agents authorized to execute documents on behalf of the entity, and
- its employees who directly engage or engaged in doing work with the County on behalf of the entity.

Do not hesitate to contact the Board of Ethics at (312) 603-4304 for assistance in determining the scope of any required familial relationship disclosure.

Additional Definitions:

"Familial relationship" means a person who is a spouse, domestic partner or civil union partner of a County employee or State, County or municipal official, or any person who is related to such an employee or official, whether by blood, marriage or adoption, as a:

- | | | |
|----------------------------------|--|---------------------------------------|
| <input type="checkbox"/> Parent | <input type="checkbox"/> Grandparent | <input type="checkbox"/> Stepfather |
| <input type="checkbox"/> Child | <input type="checkbox"/> Grandchild | <input type="checkbox"/> Stepmother |
| <input type="checkbox"/> Brother | <input type="checkbox"/> Father-in-law | <input type="checkbox"/> Stepson |
| <input type="checkbox"/> Sister | <input type="checkbox"/> Mother-in-law | <input type="checkbox"/> Stepdaughter |
| <input type="checkbox"/> Aunt | <input type="checkbox"/> Son-in-law | <input type="checkbox"/> Stepbrother |
| <input type="checkbox"/> Uncle | <input type="checkbox"/> Daughter-in-law | <input type="checkbox"/> Stepsister |
| <input type="checkbox"/> Niece | <input type="checkbox"/> Brother-in-law | <input type="checkbox"/> Halfbrother |
| <input type="checkbox"/> Nephew | <input type="checkbox"/> Sister-in-law | <input type="checkbox"/> Halfsister |

**COOK COUNTY BOARD OF ETHICS
FAMILIAL RELATIONSHIP DISCLOSURE FORM**

A. PERSON DOING OR SEEKING TO DO BUSINESS WITH THE COUNTY

Name of Person Doing Business with the County: SimplexGrinnell LP

Address of Person Doing Business with the County: 91 N Mitchell Ct. Addison, IL 60101

Phone number of Person Doing Business with the County: (630) 948-1100

Email address of Person Doing Business with the County: fpeluso@simplexgrinnell.com

If Person Doing Business with the County is a Business Entity, provide the name, title and contact information for the individual completing this disclosure on behalf of the Person Doing Business with the County:

Frank Peluso, District General Manager, (630) 948-1100

B. DESCRIPTION OF BUSINESS WITH THE COUNTY

Append additional pages as needed and for each County lease, contract, purchase or sale sought and/or obtained during the calendar year of this disclosure (or the proceeding calendar year if disclosure is made on January 1), identify:

The lease number, contract number, purchase order number, request for proposal number and/or request for qualification number associated with the business you are doing or seeking to do with the County: _____

N/A

The aggregate dollar value of the business you are doing or seeking to do with the County: \$ N/A

The name, title and contact information for the County official(s) or employee(s) involved in negotiating the business you are doing or seeking to do with the County: _____

N/A

The name, title and contact information for the County official(s) or employee(s) involved in managing the business you are doing or seeking to do with the County: _____

N/A

C. DISCLOSURE OF FAMILIAL RELATIONSHIPS WITH COUNTY EMPLOYEES OR STATE, COUNTY OR MUNICIPAL ELECTED OFFICIALS

Check the box that applies and provide related information where needed

☐ The Person Doing Business with the County is **an individual** and there is **no familial relationship** between this individual and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.

☒ The Person Doing Business with the County is a **business entity** and there is **no familial relationship** between any member of this business entity's board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity or employees directly engaged in contractual work with the County on behalf of the business entity, and any Cook County employee or any person holding elective office in the State of Illinois, Cook County, or any municipality within Cook County.

**COOK COUNTY BOARD OF ETHICS
FAMILIAL RELATIONSHIP DISCLOSURE FORM**

- ☐ The Person Doing Business with the County **is an individual** and **there is a familial relationship** between this individual and at least one Cook County employee and/or a person or persons holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County. **The familial relationships are as follows:**

Name of Individual Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
N/A			

If more space is needed, attach an additional sheet following the above format.

- ☐ The Person Doing Business with the County **is a business entity** and **there is a familial relationship** between at least one member of this business entity's board of directors, officers, persons responsible for general administration of the business entity, agents authorized to execute documents on behalf of the business entity and/or employees directly engaged in contractual work with the County on behalf of the business entity, on the one hand, and at least one Cook County employee and/or a person holding elective office in the State of Illinois, Cook County, and/or any municipality within Cook County, on the other. **The familial relationships are as follows:**

Name of Member of Board of Director for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
N/A			

Name of Officer for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
N/A			

Name of Person Responsible for the General Administration of the Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
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N/A

Name of Agent Authorized to Execute Documents for Business Entity Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
--	--	--	----------------------------------

N/A

Name of Employee of Business Entity Directly Engaged in Doing Business with the County	Name of Related County Employee or State, County or Municipal Elected Official	Title and Position of Related County Employee or State, County or Municipal Elected Official	Nature of Familial Relationship*
--	--	--	----------------------------------

N/A

If more space is needed, attach an additional sheet following the above format.

VERIFICATION: To the best of my knowledge, the information I have provided on this disclosure form is accurate and complete. I acknowledge that an inaccurate or incomplete disclosure is punishable by law, including but not limited to fines and debarment.

Signature of Recipient

September 29, 2015

Date

SUBMIT COMPLETED FORM TO:

Cook County Board of Ethics
69 West Washington Street, Suite 3040, Chicago, Illinois 60602
Office (312) 603-4304 – Fax (312) 603-9988
CookCounty.Ethics@cookcountyil.gov

* Spouse, domestic partner, civil union partner or parent, child, sibling, aunt, uncle, niece, nephew, grandparent or grandchild by blood, marriage (i.e. in laws and step relations) or adoption.

SECTION 4

COOK COUNTY AFFIDAVIT FOR WAGE THEFT ORDINANCE

Effective May 1, 2015, every Person, **including Substantial Owners**, seeking a Contract with Cook County must comply with the Cook County Wage Theft Ordinance set forth in Chapter 34, Article IV, Section 179. Any Person/Substantial Owner, who fails to comply with Cook County Wage Theft Ordinance, may request that the Chief Procurement Officer grant a reduction or waiver in accordance with Section 34-179(d).

"Contract" means any written document to make Procurements by or on behalf of Cook County.

"Person" means any individual, corporation, partnership, Joint Venture, trust, association, limited liability company, sole proprietorship or other legal entity.

"Procurement" means obtaining supplies, equipment, goods, or services of any kind.

"Substantial Owner" means any person or persons who own or hold a twenty-five percent (25%) or more percentage of interest in any business entity seeking a County Privilege, including those shareholders, general or limited partners, beneficiaries and principals; except where a business entity is an individual or sole proprietorship, Substantial Owner means that individual or sole proprietor.

All Persons/Substantial Owners are required to complete this affidavit and comply with the Cook County Wage Theft Ordinance before any Contract is awarded. Signature of this form constitutes a certification the information provided below is correct and complete, and that the individual(s) signing this form has/have personal knowledge of such information.

I. Contract Information:

Contract Number: Contract # 45690376, Quote # 347864

County Using Agency (requesting Procurement): Aaron Moser, Procurement Analyst, (312) 603-2375
aaron.moser@cookcountyll.gov

II. Person/Substantial Owner Information:

Person (Corporate Entity Name): SimplexGrinnell LP

Substantial Owner Complete Name: District General Manager - Frank Peluso

FEIN# 58-2608861

Date of Birth: 7-17-65 E-mail address: fpeluso@simplexgrinnell.com

Street Address: 91 N Mitchell Ct.

City: Addison State: IL Zip: 60101

Home Phone: (630) 948 - 1100 Driver's License No: CALCADO 08-277-0735

III. Compliance with Wage Laws:

Within the past five years has the Person/Substantial Owner, in any judicial or administrative proceeding, been convicted of, entered a plea, made an admission of guilt or liability, or had an administrative finding made for committing a repeated or willful violation of any of the following laws:

Illinois Wage Payment and Collection Act, 820 ILCS 115/1 et seq., YES or ☒ NO

Illinois Minimum Wage Act, 820 ILCS 105/1 et seq., YES or ☒ NO

Illinois Worker Adjustment and Retraining Notification Act, 820 ILCS 65/1 et seq., YES or ☒ NO

Employee Classification Act, 820 ILCS 185/1 et seq., YES or ☒ NO

Fair Labor Standards Act of 1938, 29 U.S.C. 201, et seq., YES or ☒ NO

Any comparable state statute or regulation of any state, which governs the payment of wages YES or ☒ NO

If the Person/Substantial Owner answered "Yes" to any of the questions above, it is ineligible to enter into a Contract with Cook County, but can request a reduction or waiver under **Section IV**.

IV. Request for Waiver or Reduction N/A

If Person/Substantial Owner answered "Yes" to any of the questions above, it may request a reduction or waiver in accordance with Section 34-179(d), provided that the request for reduction or waiver is made on the basis of one or more of the following actions that have taken place:

There has been a bona fide change in ownership or Control of the ineligible Person or Substantial Owner
YES or NO

Disciplinary action has been taken against the individual(s) responsible for the acts giving rise to the violation
YES or NO

Remedial action has been taken to prevent a recurrence of the acts giving rise to the disqualification or default
YES or NO

Other factors that the Person or Substantial Owner believe are relevant.
YES or NO

The Person/Substantial Owner must submit documentation to support the basis of its request for a reduction or waiver. The Chief Procurement Officer reserves the right to make additional inquiries and request additional documentation.

V. Affirmation

The Person/Substantial Owner affirms that all statements contained in the Affidavit are true, accurate and complete.

Signature: _____

Date: September 29, 2015

Name of Person signing (Print): Frank Peluso

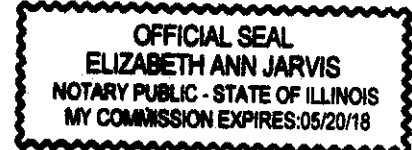
Title: District General Manager

Subscribed and sworn to before me this 29th day of September, 2015

X _____
Notary Public Signature

Notary Seal

Note: The above information is subject to verification prior to the award of the Contract.





It's important to select a strong, accomplished company that can meet your protection needs and provide exceptional value to your organization. In today's era of life safety, value goes beyond the delivery of products and services. It goes to the very heart of your enterprise and the fulfillment of your mission. SimplexGrinnell delivers uncommon value by serving our customers in a multitude of ways that make them better, more efficient and more successful. That's how SimplexGrinnell defines value in life safety, and here are guiding principles that we use to deliver it.

— Leadership & Longevity

SimplexGrinnell has a 150-year history as a leader in the fire and life-safety industry. Since the 1800s, the Simplex and Grinnell brand names have been synonymous with leadership, excellence and reliability. Today, we carry that rich heritage forward as SimplexGrinnell, a Tyco International company that is redefining what it means to be a leader in life safety. We serve over one million customers with strength, resources and resolve that competitors cannot match.

— Knowledge & Experience

In the challenging modern era of fire and life safety, there is no substitute for knowledge and experience. They are clear differentiators that separate one life-safety company from another. Our customers can attest that knowledge and experience are hallmarks of the SimplexGrinnell team – a dedicated group of 11,800 employees considered the strongest and most accomplished in the industry. We offer factory-trained, NICET certified technicians, in-depth knowledge of local codes and standards, and a proven record of delivering life-safety solutions in healthcare, education, government, manufacturing and other mission-critical markets.

— Single-Source Life-Safety Solutions

SimplexGrinnell stands out as the industry's leading single-source provider. The ease and simplicity of working with SimplexGrinnell can provide peace of mind and added operational efficiency to help your bottom line. We can meet the breadth of your needs with a wide-ranging portfolio that includes fire alarm, fire sprinkler, fire suppression, integrated security, communications and nurse call. We can plan, lay out and install your life-safety systems – then keep them in top working order with high-quality testing, inspection, preventive maintenance and emergency repair services. The result is a single-source solution that can lower your overall life-cycle costs.

— Customer Service & Commitment

At SimplexGrinnell, nothing is more important than our commitment to customers. We're passionate about it and equally passionate about the vitally important work we do in life safety. Each day in our 150 local offices with 4,500 service vehicles, we strive to exceed expectations and provide exceptional customer service. In support of that quest for excellence, SimplexGrinnell conducts ongoing customer satisfaction surveys, provides customer skills training to our front-line service employees, and holds an annual Customer Advisory Council to better gauge our performance and shape future strategies.

— Local Delivery, National Coverage

No matter where you're located, SimplexGrinnell's experienced life-safety team is right nearby. Our infrastructure is a key strength. With 150 company-owned offices in North America, we can provide the prompt attention and personal service you expect from a local business. By the same token, our reach throughout the United States and Canada gives SimplexGrinnell the ability to provide standardized, consistent delivery and service to large, national customers who operate multiple sites and locations.

— Contact

Julie Watkins – Fire & Life Safety – Testing & Inspections - Phone (630) 948-1232 – JuWatkins@simplexgrinnell.com
SimplexGrinnell 91 N Mitchell Ct. Addison, IL 60101

Company Information

Company legal name: SimplexGrinnell LP
Principal place of business: Boca Corporate Center
4700 Exchange Court
Boca Raton, FL 33431
USA
561-988-7200
Website: www.simplexgrinnell.com
State of Formation/Type: Delaware Limited Partnership
Dated Formed: March 7, 2001
Federal Tax ID: 58-2608861
Dun & Bradstreet: 09-473-8007
Rating – 5A3
Interstate ID Number: 1495895A
**General Partner/
Parent Company:** Simplex Time Recorder LLC
Boca Corporate Center
4700 Exchange Court
Boca Raton, FL 33431
USA

SimplexGrinnell LP provides fire detection, sprinkler and suppression system, security and building communications solutions and services to customers worldwide. SimplexGrinnell combines the forces of Simplex Time Recorder Co. (Simplex) and Grinnell Corporation d/b/a Grinnell Fire Protection Corporation, both of which have been in business well over 100 years. SimplexGrinnell is qualified to do business in all 50 US states with over 9,500 employees.

NAICS (2007)	SIC	Description
238220		Fire sprinkler system installation
334290		Fire detection and alarm systems manufacturing <i>(For use only by Westminster, MA)</i>
423990		Fire extinguisher sales combined with rental and/or service
561621		Fire alarm sales combined with installation, repair, or monitoring services ; Security alarm systems sales combined with installation, repair, or monitoring services
	1711	Sprinkler system installation contractors
	1731	Fire and burglar alarm installation contractors
	7382	Security systems device, burglar and fire alarm monitoring and maintenance
	3669	Manufacturing fire alarm apparatus, electric <i>(For use only by Westminster, MA)</i>
	7389	Servicing of fire extinguishers

SimplexGrinnell is not a small, woman-owned, minority-owned, veteran or disadvantaged business.

Affiliates:

SimplexGrinnell LP is a wholly owned, indirect subsidiary of Tyco International Ltd. Tyco has several subsidiaries that may be considered affiliates of SimplexGrinnell. Please refer to Tyco's website at www.tyco.com for further information.

Key Officers:

President	<u>Vice President & Secretary</u>	<u>Vice President and Treasurer</u>
Robert F. Chauvin	Chris Maxie	Mark Meisner
50 Technology Drive	Boca Corporate Center	9 Roszel Road
Westminster, MA 01441	4700 Exchange Court, Suite 300	Princeton, NJ 08540
	Boca Raton, FL 33431	

Company Information

Credit References:

Creative Print Products
803R Lancaster Street
Leominster, MA 01453
Contact: Rita Brun
Tel: 978-534-2030
Fax: 978-534-1730
rita@creativeprintproducts.com

United Rentals Inc.
2318 Espey Court
Crofton, MD 21114
Contact: Barbara Garcia
Tel: 443-332-4135
Fax: 360-824-6018
bgarcia@ur.com

DataSPAN
PO Box 1407
Graham, TX 76450
Contact: Ken McHenry
Tel: 940-549-5462 x 1098
Fax: 940-549-4853
kmchenry@mricompanies.com

EMC Corporation
176 South Street
Hopkinton, MA 01748
Contact: Thomas Brown
Tel: 508-435-1000 ext. 76672
Fax: 508-293-7030

Kelly Solutions, Inc.
210 West Road, Unit 7
Portsmouth, NH 03801
Tel: 603-431-3881
Fax: 603-430-6855

Bank Reference:

The Bank of New York Mellon
6023 Airport Rd.
Oriskany, New York 13424
Attn: Credit Inquiry Unit
Acct: 119-5680

Bank reference information is available via The Bank of New York Mellon online credit inquiry form at <https://www.bnymellon.com/credit/>. If you prefer to mail or fax your form, please print it from the above link, and mail to the address above or fax to 732-667-4620.

Financial Information:

SimplexGrinnell LP is a wholly owned, indirect subsidiary of Tyco International Ltd. Tyco's latest financial information, including its latest annual reports, quarterly reports and other filings are available on the Investor Relations section of Tyco's website at www.tyco.com.

Licensing:

SimplexGrinnell LP is licensed by numerous state and local authorities to engage in fire sprinkler, fire alarm, fire suppression and security system contracting and related activities. Information regarding relevant licenses may be provided upon request. License information is also available at www.simplexgrinnell.com.

Ownership:

No officer or director owns more than a 5% interest in the company. General and limited partner ownership as follows:

	Common
Simplex Time Recorder LLC	50.7827%
Tyco Fire Protection LLC	47.0922%
Master Protection LP	2.1238%
STR Grinnell GP Holding, LLC	0.0013%

Insurance & Bonds:

Tyco International, Ltd., purchases insurance on behalf of all its operations and subsidiaries worldwide. This insurance coverage includes coverage for General/Products Liability, Automobile Insurance, Workers Compensation/Employers Liability, Property Insurance, Transit/Cargo Insurance, and Excess/Umbrella Liability Insurance, as well as many others.

It is Tyco's corporate policy to not issue insurance certificates or other detailed coverage statements, until actual contracts have been agreed to. Once contracts are drawn up, we are more than willing to produce certificates evidencing our insurance coverages in a timely and expedient manner on insurance industry standard ACCORD certificate forms. Tyco International does purchase insurance that complies with all applicable regulations, laws, customs, and practices for all US states, territories, possessions, Canada, Mexico, along with most countries in the world. Please also note that Tyco is

Company Information

a company with annual revenues of over \$17 billion and operates in over 60 countries. The limits and types of insurance we purchase are in accordance with companies of comparable size.

Corporate Responsibility:

Tyco is committed to the highest standards of corporate responsibility. Tyco's investments in these efforts include programs to reduce its environmental impact and enhance the health and safety of its employees to help achieve its vision of zero harm to people and the environment. Tyco is focused on building diverse teams and leveraging their talent, enthusiasm, energy, and commitment across the company. For more information please see the Corporate Responsibility section of Tyco's website, www.tyco.com.

Tyco is committed to operating in compliance with all applicable laws and regulations in the global marketplace in which we do business. Tyco's Compliance Program establishes the framework for meeting this commitment. The program has been designed to help identify, manage and mitigate Tyco's compliance risks. Tyco is a member of the World Economic Forum Partnering Against Corruption Initiative (PACI). PACI brings together companies from varied industries and geographies to fight bribery and corruption. The initiative now has over 150 signatories of industry-leading companies, all supporting a zero-tolerance policy towards bribery and promoting effective internal anti-corruption programs. Tyco upholds these standards by enforcing its Guide to Ethical Conduct and Zero Harm initiatives. For additional information please see Tyco's Guide to Ethical Conduct and Environment, Health & Safety. Information about these programs can be found on www.tyco.com.

Safety:

Tyco companies maintain a comprehensive safety program. Tyco's rate of Interstate Experience Modification Factors (EMR) for the past 5 years is:

Policy Period	EMR
10/1/2013 – 10/1/2014	.76
10/1/2012 – 10/1/2013	.82
10/1/2011 – 10/1/2012	.85
10/1/2010 – 10/1/2011	.83
10/1/2009 – 10/1/2010	.79

Tyco's OSHA Lost Time Incident Rate:

2012	.30
2011	.60
2010	.72
2009	.64
2008	.98

Litigation:

SimplexGrinnell LP is a fire detection, sprinkler system, security and building communications solutions company that combines the forces of both Simplex and Grinnell Fire Protection, both of which have been in business well over 100 years. SimplexGrinnell operates from about 150 offices in all 50 states. Because of the type of business it is in, from time to time in the ordinary course of its business, SimplexGrinnell becomes involved in claims and litigation. However, there are no claims or litigation currently pending that would materially affect SimplexGrinnell's ability to perform.

Business Continuity/Disaster Recovery:

SimplexGrinnell's Disaster Recovery plan is confidential and, thus, is not released to third parties. SimplexGrinnell has established a recovery plan to ensure that its business systems are recovered in a timely manner in the event of a disaster. We work with a third party vendor experienced in providing disaster recovery service. As part of this plan, SimplexGrinnell conducts periodic Disaster Tests to ensure that its plan is effective and to measure the efficiency of plan improvements. In addition, SimplexGrinnell's monitoring center operates a concurrent backup system in another location. This system mirrors SimplexGrinnell's central station facility. If an unforeseen event were to affect the central station, all calls would be automatically redirected to the backup facility to provide customers with seamless monitoring of their systems.

Company Information

Supplier Diversity:

Supplier diversity has become a key business strategy for progressive multi-national corporations. As a customer driven organization, Tyco is focused on fully understanding and exceeding our customers' needs, wants, and preferences and providing greater value to our customers. To achieve this, we built our organization and supply base to reflect the demographics and virtues of our stakeholders and customers. In Fiscal Year 2009, Tyco supplier diversity spend with Minority and Woman-Owned Enterprises (MWBE) was 5.5%. In Fiscal year 2010, Tyco's spend with MWBE suppliers was 6.6%, representing an increase of 1.1% from the previous year. In Fiscal year 2011, Tyco's Supplier Diversity Program aligned its definition of supplier diversity with the federal government's definition of supplier diversity so that it now includes MBE, WBE, Native American, disabled vets, and hub zone thus increasing Tyco's diversity spend to 14.8%.

Certificate of Registration

STATE BOARD OF ELECTIONS

Registration No. 13709

SimplexGrinnell LP

1501 Yamato Road

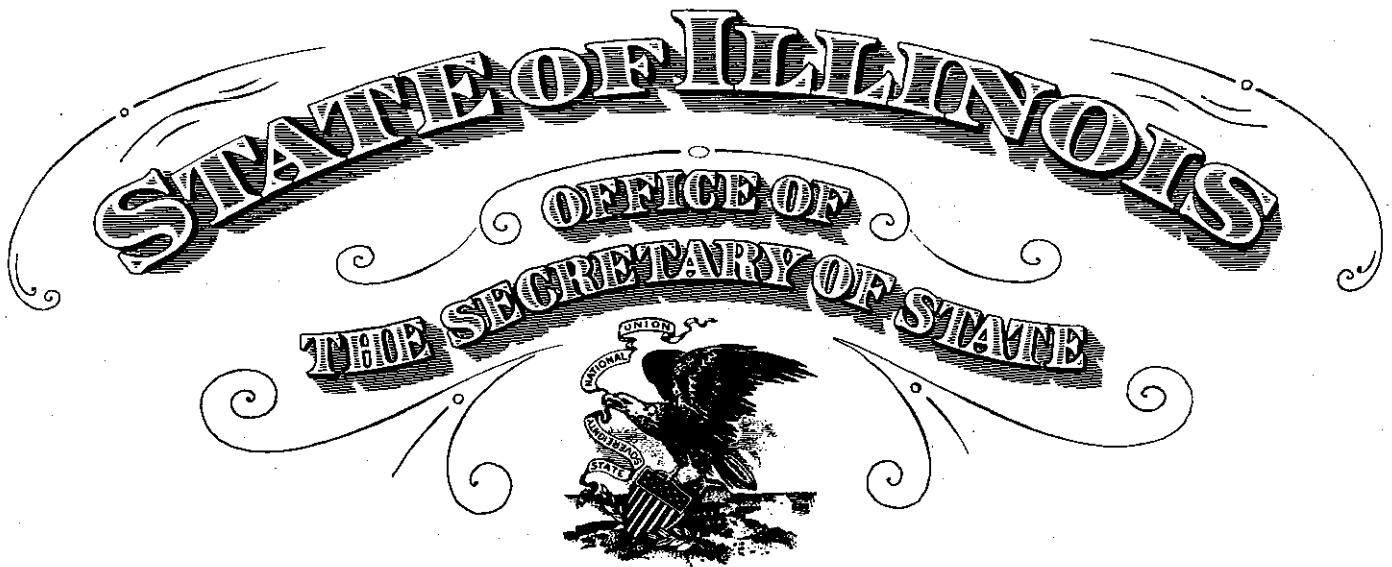
Boca Raton FL 33431

Information for this business last updated on:

Wednesday, May 23, 2012

Certificate produced on Wednesday, May 23, 2012 at 11:37 AM





To all to whom these Presents Shall Come, Greeting:

I, Jesse White, Secretary of State of the State of Illinois, do hereby certify that

SIMPLEXGRINNELL L.P., A DELAWARE LP/LLLP HAVING OBTAINED AUTHORITY TO TRANSACT BUSINESS IN ILLINOIS ON APRIL 18, 2001, APPEARS TO HAVE COMPLIED WITH ALL PROVISIONS OF THE UNIFORM LIMITED PARTNERSHIP ACT (2001) OF THIS STATE, AND AS OF THIS DATE IS IN GOOD STANDING AS A FOREIGN LP/LLLP AUTHORIZED TO TRANSACT BUSINESS IN THE STATE OF ILLINOIS, HAVING FULFILLED ALL REQUIREMENTS OF SAID ACT WITH REGARD TO PAYMENT OF FEES, THE FILING OF ANNUAL REPORTS (IF APPLICABLE) AND NEITHER HAVING HAD ITS AUTHORITY REVOKED NOR HAVING FILED A NOTICE OF CANCELLATION.



Authentication #: 1409102176

Authenticate at: <http://www.cyberdriveillinois.com>

In Testimony Whereof, I hereto set
my hand and cause to be affixed the Great Seal of
the State of Illinois, this 1ST
day of **APRIL** **A.D.** **2014** .

Jesse White

SECRETARY OF STATE

CERTIFICATE

I, Robert C. Maxie, Secretary of SimplexGrinnell LP, a Delaware limited partnership, (the "Partnership") and its General Partner Simplex Time Recorder LLC., hereby certify that at a meeting of the Board of Directors of the General Partner, held at Exeter, New Hampshire, on May 3, 2001, at which a quorum was present and acting throughout, the following resolution was duly adopted:

RESOLVED: Each District General Manager be, and he hereby is, authorized and empowered to sign in the name and on behalf of the Partnership, under its corporate seal or otherwise:

All bids, proposals, tenders and contract documents with respect to the sale, design, and/or installation of fire protection systems, access control & security systems as well as alarm and detection systems, time and attendance and workforce solution systems, nurse call, and communications systems and to do any and all acts necessary or incident to the completion of any such contract document; provided, however, that any such bid, proposal, tender and/or contract in excess of \$500,000.00 must be accompanied by written approval of a Vice President, Secretary and/or an Assistant Secretary of the Partnership;

All documents with respect to any supplementary work (additional work, changes, modifications, etc.) concerning any of the above described systems pursuant to any existing contract, and to do any and all acts necessary or incident to the completion of any existing contract; and

All lien waivers, releases, discharges or privileges, inspection service contracts, contractor's affidavits, guarantees and/or surety bonds, required by, or appropriate for customers of the Partnership in connection with contracts to which the Partnership is a party and in connection with work performed by the Partnership."

I further certify that the foregoing resolution is in full force and effect.

I further certify that Frank Peluso is the District General Manager for the Chicago, Illinois district office of SimplexGrinnell LP.

WITNESS MY HAND and the seal of the Company effective as of this 10th day of July 2015.


Robert C. Maxie
Secretary

SECTION 5

CONTRACT AND EDS EXECUTION PAGE PLEASE EXECUTE THREE ORIGINAL PAGES OF EDS

The Applicant hereby certifies and warrants that all of the statements, certifications and representations set forth in this EDS are true, complete and correct; that the Applicant is in full compliance and will continue to be in compliance throughout the term of the Contract or County Privilege issued to the Applicant with all the policies and requirements set forth in this EDS; and that all facts and information provided by the Applicant in this EDS are true, complete and correct. The Applicant agrees to inform the Chief Procurement Officer in writing if any of such statements, certifications, representations, facts or information becomes or is found to be untrue, incomplete or incorrect during the term of the Contract or County Privilege.

Execution by Corporation

N/A

Corporation's Name

President's Printed Name and Signature

Telephone

Email

Secretary Signature

Date

Execution by ~~LLC~~ *Limited Partnership*

SimplexGrinnell LP

Frank Peluso, District General Manager

LLC Name

*Member/Manager Printed Name and Signature

(630) 948-1100

(630) 948-1100, Fpeluso@simplexgrinnell.com

Date

Telephone and Email

Execution by Partnership/Joint Venture

Partnership/Joint Venture Name

*Partner/Joint Venturer Printed Name and Signature

Date

Telephone and Email

Execution by Sole Proprietorship

N/A

Printed Name Signature

Assumed Name (if applicable)

Date

Telephone and Email

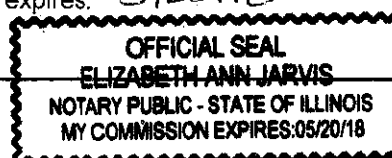
Subscribed and sworn to before me this

29th day of September, 2015.

My commission expires: 5/20/18

Notary Public Signature

Notary Seal



*If the operating agreement, partnership agreement or governing documents requiring execution by multiple members, managers, partners, or joint venturers, please complete and execute additional Contract and EDS Execution Pages.